## **REQUEST FORM FOR APPLICATION UNDER 37 CFR 1.53(b)**

DOCKET NUMBER: 58799-102

Prior Application:

Art Unit:

Examiner:

Kim, Hong Chong

**BOX FEE** Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a Request for filing a continuation application under 37 CFR 1.53(b) of pending prior application

Serial No. 09/935,769, filed on August 24, 2001, entitled CONTROL APPARATUS AND OPTICAL DISC

APPARATUS USING IT, by the following named inventors: Yoshinori Ishikawa, Yukinobu Tada, Masato Soma,

Dan	<b>A</b> (	ЭK	T
LJ AII	$\neg$	-	1.

1. 🛛	I hereby state that the enclosed application contains no new matter.
2.	Oath or Declaration  a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d))  i. Deletion of inventor(s)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFF 1.63(d)(2) and 1.33(b).
3. 🗔	Incorporation By Reference (useable if Box 2b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 2b, is considered as being part of the disclosure of the accompanying application and is hereby

4. Preliminary Amendment is enclosed.

incorporated by reference therein.

- 5. An Information Disclosure Statement and PTO1449 Form are submitted herewith.
- 6. Cancel claims 14-16.

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7. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 6 above:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
	CEITING		CLITIVIS	TUTE	711100111
Total Claims	15	-20		=	\$0.00
Independent Claims	3	-3		=	\$0.00
			Basic	Application Fee	\$770.00
	If	multiple dep	endent claims are	e presented, add	\$0.00
			Total .	Application Fee	\$770.00
			Subtract !	½ if small entity	\$0.00
		тот	AL APPLICAT	ION FEE DUE	\$770.00
AMOUN	T TO BE CHARGE	D TO DEP	OSIT ACCOUN	T NO. 500417	\$770:00

***				
7a. 🗌	Enclosed is a Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.			
7b. 🔲	A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.			
8a. 🛚	PLEASE CHARGE DEPOSIT ACCOUNT 500417 in the amount of \$ 770.00			
8b. 🛛	The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees to maintain the pendency of the parent application Serial No. 09/935,769 or credit any overpayment to Deposit Account No. 500417.			
9. 🛛	Amend the specification by inserting before the first line the sentence:			
	This application is a continuation of Application Serial No. <u>09/935,769</u> filed <u>August 24, 2001</u>			
10. 🛛	Priority of Application Serial No. <u>2001-148593</u> filed on <u>May 18, 2001</u> , in <u>Japan</u> is claimed under 35 USC 119. The certified priority document was filed in Serial No. <u>09/935,769</u> on <u>August 24, 2001</u> .			
11. 🛛	The prior application is assigned of record to			
HITACHI, LTD.				

12. The power of attorney in the prior application is to:

McDermott, Will & Emery

- 13. Also enclosed:
  - 1. Seven (7) sheets of formal drawings.

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14. A petition, fee and response has been filed to extend the term in the pending prior application until.

Address all future communications to: (May only be completed by applicant, or attorney or agent of record)

McDermott, Will & Emery 600 13th Street, N.W. Washington, DC 20005-3096

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Keith E. George

Registration No. 34,111

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Facsimile: (202) 756-8087 **Date: November 25, 2003** 

Docket No.: 58799-102 **PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Yoshinori Ishikawa, et al. : Confirmation Number:

Serial No.: Continuation of Appl. No.

09/935,769 : Group Art Unit:

Filed: November 25, 2003 : Examiner:

For: CONTROL APPARATUS AND OPTICAL DISC APPARATUS USING IT

## TRANSMITTAL OF FORMAL DRAWINGS

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Seven (7) pages of formal drawings are submitted herewith.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Keith E. George

Registration No. 34,111

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Date: November 25, 2003